41/F Central Plaza,
18 Harbour Road,
Wanchai,
Hong Kong

香港灣仔港灣道十八號
中環廣場四十一樓

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112-05-1608

Change of Investment and Financial Information
更改投資及財務資料

To 致	☐ KGI Asia Limited 凱基證券亞洲有限公司	☐ KGI Futures (Hong Kong) Limited 凱基期貨(香港)有限公司	Date (dd/mm/yy) 日期 (日/月/年)	__ / __ / __

Client Information 客戶資料

∗ Account Number 帳戶號碼	
∗ Account Name 帳戶名稱 (CAPITAL LETTERS 大寫字母)	

Instructions 指示:

1. Please fax or e-mail this form to the fax no. or e-mail address below. Requests made after the cut-off time(i.e. 16:30 HK Time on a working day) or on a Saturday, Sunday and public holiday will be processed on the next working day.
   請將本表格傳真至以下傳真號碼或電郵至以下電郵地址。在截數時間(即香港工作天時間16:30)後或是星期六、日及公眾假期遞交的客戶指示將於隨後的一個工作天辦理。

Fax 傳真: (852) 2878-6769 Email 電郵: ops.service@kgi.com

2. Your request will normally be processed within 3 working days after our receipt of this duly completed and signed.
   本行將於收到閣下已填妥並簽署的此申請表後三個工作天內處理閣下的申請。

Instruction Details 指示詳情

∗ Effective Date 生效日期	__ / __ / __

- Your financial information 客戶財務資料:

  (a) Annual income (HKD) 每年收入(港元)

  ☐ Under / 少於$100,000 ☐ $100,000 - $500,000
  ☐ $500,001 - $1,000,000 ☐ Above/多於$1,000,000 (please specify 請註明

  (b) Total net worth (HKD) 客戶資產淨值 (港元) ____________________

  (c) Do you own any property / real estate 客戶是否擁有任何資產 / 物業?

  ☐ No 沒有 ☐ Yes, details are 有, 詳情爲: ____________________
  ☐ With financing 有借貸 ☐ Without financing 無借貸

  (d) Source of fund/wealth 資金/財富來源 ： ____________________

- Your investment experience 客戶投資經驗:

  ☐ HK listed securities (average trade size) 香港上市證券 (平均交易額) HKD 港元_______ _______
  ☐ Overseas listed securities (average trade size) 海外上市證券 (平均交易額) HKD 港元_______ _______
  ☐ HK futures and options (number of contracts and product(s) 香港期貨及期權(合約數量及產品) _______ _______
  ☐ Oversea futures and options (number of contracts and product(s) 海外期貨及期權 (合約數量及產品) _______ _______
  ☐ Short selling of listed securities 沽空上市證券 ☐ Yes有 ☐ No 沒有 _______

- Your investment objectives and risk tolerance 客戶投資目的及可承受風險:

  (a) Investment objectives 投資目的

  ☐ Income 收入 ☐ Hedging 對沖 ☐ Capital Gain 資本增值 ☐ Speculation 投機

  (b) Risk tolerance 可承受風險

  ☐ Low 低風險 ☐ Medium 中等風險 ☐ High 高風險

♦ Securities Margin , Stock Options and Futures Account are Belongs to Medium and/or High categories. 證券保證金、股票期權及期貨買賣帳戶屬於”中等風險”及/或”高風險”類別

Client Signature(s) 客戶簽署

S.V.

Signatory Name(s) 簽署人姓名	

X

(All account holders of joint account must sign jointly.所有聯名客戶必須簽署)

FOR OFFICE USE ONLY 此部分由本公司填寫

	Confirmed & Signed by IR/CSO	Updated by OPP:	Checked by OPP:
	Name: Date:	Name: Date:	Name: Date:

∗ Mandatory Fields